

P20978.P01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P20978

Total Pages

Inventor(s) or Application Identifier
Gunhee JANG and Kyungsu KIMTitle: ULTRA-SLIM STRUCTURE OF DISK-SPINDLE
MOTOR

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
 - a. ☐ Small Entity Statement(s)
3. ☒ Specification (preferred arrangement set forth below) [Total Pages 10]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
 - ☒ Newly executed (original or copy) ☐ Unexecuted
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 5b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
7. Application Data Sheet. See 37 CFR 1.76
- ☐ CD-Rom or CD-R in duplicate, large table or Computer
Program (Appendix)

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies
10. ☒ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☒ Figure of Drawing to be published 2A
17. ☐ Foreign priority claimed
 - a. ☐ Claim of Priority
 - b. ☐ Certified Copy of Priority Document(s)
18. ☒ Assignee: Gunhee JANG of Seoul, REPUBLIC OF KOREA
19. ☒ Other: Cover Letter Regarding Executed Application

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No. _____/_____, filed _____.
 Prior application information: Examiner: _____ Group Art Unit: _____

 21. ☐ Amend the specification by inserting before the first line the sentence:
 This application is a ___ continuation-in-part, ___ continuation, ___ divisional, of Application No. _____/_____, filed _____.
Address all future correspondence to **Customer No. 7055** at the present address of:
 GREENBLUM & BERNSTEIN, P.L.C.
 1941 Roland Clarke Place
 Reston, VA 20191
 (703) 716-1191

Date

Signature

 Bruce H. Bernstein, Reg No. 29,027
 Typed or Printed Name

FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	G. JANG et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
TOTAL AMOUNT OF PAYMENT	(\$395.00)
Attorney Docket Number	P20978

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)
- ☒ Applicant Claims Small Entity Status See 37 CFR 1.27.

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 12/29/99)

1. G FEE

Large Fee Code	Small Fee Code	Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	0	201	355	Utility filing fee	355
102	0	206	160	Design filing fee	
103	0	207	245	Plant filing fee	
104	0	208	355	Reissue filing fee	
105	0	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 355

2. MS

To	Inc	Cl	Mt	Dependent Claims	Fee from below	Fee Paid
101	2	-20=	0	x	18	0
102	2	-3=	0	x	80	0
103				x		0

Large Fee Code	Small Fee Code	Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

3. ADDITIONAL FEES

Large Fee Code	Small Fee Code	Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath or cover sheet.	
127	50	227	25	Surcharge - late provisional filing fee	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR	
113	1,840*	113	1,840*	Prior to Examiner action	
115	110	215	55	Requesting publication of SIR after Examiner action	
116	390	216	195	Extension for response within 1st month	
117	890	217	445	Extension for response within 2nd month	
118	1,390	218	695	Extension for response within 3rd month	
128	1,890	228	945	Extension for response within 4th month	
119	310	219	155	Extension for response within 5th month	
120	310	220	155	Notice of Appeal	
121	270	221	135	Filing a brief in support of an appeal	
138	1,510	138	1,510	Request for oral hearing	
140	110	240	55	Petition to institute a public use proceeding	
141	1,240	241	620	Petition to revive unavoidably abandoned application	
142	1,240	242	620	Petition to revive unintentionally abandoned application	
143	440	243	220	Utility issue fee (or reissue)	
144	600	244	300	Design issue fee	
122	130	122	130	Plant issue fee	
123	50	123	50	Petitions to the Commissioner	
126	240	126	240	Petitions related to provisional applications	
581	40	581	40	Submission of IDS	
146	710	246	355	Recording each patent assignment per property (times number of properties)	40
149	710	249	355	Filing a submission after final rejection (37 CFR 1.129(a))	
179	710	279	355	For each additional invention to be examined (37 CFR 1.129(b))	
169	900	169	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) (\$) 40

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Bruce H. Bernstein	Reg. Number	29,027
Signature	<i>Bruce H. Bernstein</i>	Deposit Account User ID	

P20978.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gunhee JANG et al.

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : ULTRA-SLIM STRUCTURE OF DISK-SPINLE MOTOR

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by Applicants' Korean representative on June 27, 2001, and pages 1 - 10 of the specification (with Figures 1 - 4) which were earlier forwarded to the undersigned by Applicants' Korean representative on June 19, 2001. Further, the undersigned has been advised by the Korean representative that the inventors had reviewed the application before executing the declaration.

Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,


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Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at

- the below listed number.

Respectfully submitted,
Gunhee Jang et al.


Bruce H. Bernstein
Reg. No. 29,027

BH 16
33,329

June 29, 2001
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Virginia 20191
(703) 716-1191